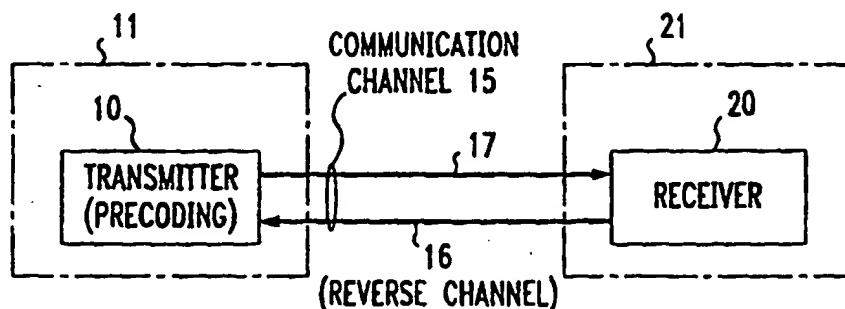




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : H04L 25/03, 25/49, H04B 15/00	A1	(11) International Publication Number: WO 98/37671 (43) International Publication Date: 27 August 1998 (27.08.98)
(21) International Application Number: PCT/US97/02758 (22) International Filing Date: 25 February 1997 (25.02.97) (71) Applicant: GLOBESPAN TECHNOLOGIES INC. [US/US]; 100 Schulz Drive, Red Bank, NJ 07701 (US). (72) Inventors: HERZBERG, Hanan; 329 Devon Place, Morganville, NJ 07751 (US). LANGBERG, Ehud; 44 Meadows Lane, Ocean Township, NJ 07712 (US). WANG, Jin-Der; 9 Buckingham Drive, Ocean, NJ 07712 (US). WERNER, Jean-Jacques; 852 Holmdel Road, Holmdel, NJ 07733 (US). (74) Agent: HORSTEMEYER, Scott, A.; Thomas, Kayden, Horstemeyer & Risley, Suite 1500, 100 Galleria Parkway, Atlanta, GA 30339 (US).		(81) Designated States: BR, CA, CN, JP, KR, MX, RU, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i>

(54) Title: AN ADAPTIVE PRE-EQUALIZER FOR USE IN DATA COMMUNICATIONS EQUIPMENT

**(57) Abstract**

The problem of error propagation is addressed by using the communications channel (15) to adapt a pre-equalizer of a transmitter (10) to the changes in the response of the communications channel (15). In particular, the pre-equalizer adapts to changes in the communications channel (15) by processing an error signal that is communicated over a reverse channel (16) by a corresponding receiver (20).

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

An Adaptive Pre-Equalizer for Use in Data Communications Equipment

Cross-Reference to Related Application

Related subject matter is disclosed in the co-pending, commonly assigned, U.S. Patent applications of: J-D. Wang, entitled "A Hybrid Equalizer Arrangement for Use in Data Communications Equipment," serial No. 08/322878, filed on October 13, 1994; and Gadot et al., entitled "A Hybrid Equalizer Arrangement for Use in Data Communications Equipment," serial No. 08/322877, filed on October 13, 1994.

Background Of the Invention

The present invention relates to data communications equipment, e.g., modems, and, more particularly, to the equalization of signals in a data communications system.

Conventionally, a receiver employs an adaptive decision feedback equalizer (DFE) to compensate for distortion introduced by the communications channel. However, the use of a DFE introduces "error propagation" effects in the receiver. As such, it is known in the art to use pre-equalization with modulo arithmetic (e.g., Tomlinson filtering) in the far-end transmitter in order to mitigate, if not eliminate, the problem of error propagation in the receiver. This pre-equalizer uses equalizer coefficient values communicated from the receiver, typically over a reverse channel. These coefficient values are generated in the receiver as the result of an initialization phase, or training, between the far-end transmitter and the receiver.

However, if the response, i.e., characteristics, of the communications channel changes significantly, the pre-equalizer will not be able to compensate for the error propagation problem in the receiver. As a result, a re-train is required so that the receiver can generate a new set of pre-equalizer coefficients, which must be then sent back to the far-end transmitter. Unfortunately, each re-train takes time to both calculate the pre-equalizer coefficients anew and to communicate them back to the far-end transmitter over what is typically a low bandwidth reverse channel.

Summary of the Invention

In accordance with the invention, the foregoing error propagation problem is solved by using the communications channel to adapt a set of coefficients of a pre-equalizer of a transmitter.

In accordance with an embodiment of the invention, a transmitter includes a pre-equalizer. The latter adapts to changes in the communications channel by using an error signal that is communicated over a reverse channel by a corresponding receiver. As a

result, no re-trains are required and the error signal typically requires less bandwidth than a set of coefficient values.

Brief Description of the Drawing

FIG. 1 is a block diagram of a prior art DFE;

5 FIG. 2 is a block diagram of a prior art precoder;

FIG. 3 is an illustrative signal point constellation for use in the precoder of FIG. 2;

FIG. 4 is a block diagram of a communications system that embodies the principles of the invention;

FIG. 5 is a block diagram of a receiver, embodying the principles of the invention;

10 FIG. 6 is a block diagram of a transmitter embodying the principles of the invention;

FIG. 7 is an illustrative flow diagram for generating an adaptation signal in accordance with the principles of the invention;

15 FIG. 8 is another illustrative flow diagram for generating an adaptation signal in accordance with the principles of the invention; and

FIG. 9 is another illustrative flow diagram for generating an adaptation signal in accordance with the principles of the invention.

Detailed Description

Before describing the inventive concept, a general overview of DFE operation is presented. (General information on DFEs can also be found in publications such as "Data Communications Principles," by R.D. Gitlin, J.F. Hayes, and S.B. Weinstein, Plenum Press, 1992.) FIG. 1 shows a prior art DFE that includes feedforward filter (FF) 50, sampler 53, adder 55, slicer 60, adder 80, and feedback filter (FB) 65. A received data signal 49 is applied to feed forward filter 50 for processing. Feedforward filter 50 whitens the noise present in the received data signal. The output signal from feedforward filter 50 is applied, via sampler 53, to adder 55, which, theoretically, subtracts the inter-symbol interference (ISI) estimated by feedback filter 65 (described further below). Adder 55 provides a signal, 56, to slicer 60. The latter selects a particular data symbol as a function of the mapping of the signal, 56, into a predefined constellation of data symbols (not shown) to provide $\hat{x}(n)$, which is an estimate of a transmitted data symbol, $x(n)$. The signal $\hat{x}(n)$ typically represents a stream of data symbols occurring at a symbol rate of $1/T$ seconds and is provided for processing by feedback filter 65 and by other receiver circuitry (not shown) to recover the actually transmitted data. (For example, if trellis coding is used, $\hat{x}(n)$ is subsequently processed by a Viterbi decoder).

Feedback filter 65 is a finite-impulse-response (FIR) having an impulse response represented by vector $\underline{f}(n)$. As mentioned above, feedback filter 65 uses the estimate, $\hat{x}(n)$, of the transmitted data to predict the amount of ISI to remove from the received signal. Adaptation of feedback filter 65 is performed by using $\hat{e}(n)$ as an error signal, which is developed by adder 80. For illustration, it is assumed that a least-mean-square (LMS) algorithm is used to adapt the coefficients of feedback filter 65. As such, then the i -th coefficient ($i=0, 1, \dots, N-1$) at the time instant n , $f_i(n)$, is given by:

$$f_i(n+1) = f_i(n) + 2\mu \hat{e}(n) \hat{x}(n-i), \quad (1)$$

where μ is the adaptation step size. (For simplicity, this description assumes the use of real filters and real data. However, the inventive concept is also applicable to complex filters and data as well.)

The DFE structure of FIG. 1 is based on the assumption that $\hat{x}(n)$ is a good estimation of the transmitted data $x(n)$. As long as this estimate of the transmitter symbol currently received is, in fact, correct, there is no problem. However, if the estimate of the currently transmitted symbol is wrong, then the feedback section adds this error to the next received symbol and error propagation occurs. As a result, as known in the art, a form of non-linear precoding is typically used in the far-end transmitter to minimize error propagation.

In precoding there are two phases of receiver operation. In the first phase, the "initialization" phase, the DFE of the receiver, illustrated in FIG. 1, adapts to a standard test signal, or training sequence, received from a transmitter (described below). This phase is also referred to in the art as a "start-up," or "training" phase. Typically, there is no precoding of this test signal by the transmitter. Once the DFE adapts, the resulting set of coefficient values, $f_i(n)$, ($i=0, 1, \dots, N-1$), of the DFE are transmitted back to the transmitter, e.g., over a reverse channel.

At this point, the second phase, i.e., the "communications" phase is entered. In the communications phase, the transmitter now precodes the data before transmission using any of the well-known precoding techniques, e.g., Tomlinson precoding. An example of which is shown in FIG. 2. In FIG. 2, a data signal is applied to a Tomlinson precoder comprising adder 605, mod-2L element 610, and filter 615. Adder 605 subtracts a signal developed by filter 615, described below, from the data signal, $x(n)$. The output signal 606 of adder 605 is applied to mod-2L element 610, which performs as known in the art, to provide an output data symbol stream 611. For example, mod-2L element 610 maps the output signal 606 to a position in a signal point constellation. This mapping is performed using modulo 2L arithmetic, where L is the size of a signal point constellation. FIG. 3 shows an illustrative signal point constellation, where $L = 7 + 1$. The output data

symbol stream 611 is applied to transmitter 620, which develops a signal for transmission. The output data symbol stream 611 is also applied to filter 615, which filters this signal in accordance with the polynomial function, or filter response vector, $f(n)$ using the above-mentioned set of coefficient values, $f_i(n)$, ($i=0, 1, \dots, N-1$). The latter is transmitted from the corresponding receiver after the above-described training phase.

Whatever precoding method is used, the precoding technique utilizes the above-mentioned coefficient values as determined during the initialization phase. Similarly, the receiver processes any received signal in a complementary fashion to remove the precoding, e.g., now incorporating a Tomlinson decoder. If the response of the communications channel remains constant for the transmission period, no further adaptation will be required since the precoding in the transmitter is equivalently performing the feedback function. As such, typically, the DFE section of the receiver is no longer used during the communications phase. However, in case of small changes in the response of the communications channel during the communications phase, a DFE feedback filter can be added to the receiver, initially set to zero. Unfortunately, when changes to the response of the communications channel are moderate or large during the communications phase, adding the DFE causes the error propagation problem that we tried to avoid by using the pre-equalizer in the far-end transmitter. In this situation it is known to either perform a re-train or a "quick retrain," as described in the above cross-referenced United States patent applications. In a quick retrain, only the dominate coefficients are re-calculated and sent back to the far-end transmitter over the reverse channel. In either event, each re-train takes time to both calculate the pre-equalizer coefficients anew and to communicate them back to the far-end transmitter over what is typically a low bandwidth reverse channel.

However, and in accordance with the inventive concept, we have realized that the foregoing error propagation problem can be solved by adapting the pre-equalizer to the changes in the response of the communications channel, using the reverse channel.

An illustrative communications system embodying the principles of the invention is shown in FIG. 4. The communications system comprises data communications equipment (DCE) 11, communications channel 15, and DCE 21. For simplicity only a single transmitter/receiver pair is shown as represented by transmitter 10, of DCE 11, and receiver 20, of DCE 21. Transmitter 10 includes precoding and transmits a data signal to receiver 20, via communications channel 15, e.g., over primary channel 17. Receiver 20 communicates an adaptation signal, in accordance with the principles of the invention, to transmitter 10 over reverse channel 16. Although primary channel 17 and reverse

channel 16 are shown as separate channels for simplicity, they are not so limited and represent any single, or plurality, of communications channels that enables transmission in both directions whether half-duplex, or full-duplex, over any number of different types of facilities (such as is found in the public-switched-telephone network). For example, reverse channel 16 can be a control channel that exists on a full-duplex primary communications link between transmitter 10 and receiver 20, thus enabling the inventive concept to also be practiced in the corresponding receiver (not shown) associated with DCE 11 and a transmitter (not shown), associated with DCE 21.

FIG. 5 is an illustrative block diagram of receiver 20 in accordance with the principles of the invention. The elements of receiver 20, other than the inventive concept, are well-known and will not be described in detail. Further, receiver 20 has been simplified to focus on the inventive concept, e.g., typically there is other receiver circuitry between feedforward filter 105 and communications channel 15. Finally, it is assumed that the communications system is in the above-described communications phase. That is, an initial set of equalizer coefficients has already been generated by receiver 20 and sent back to transmitter 10 over reverse channel 16. Receiver 20 comprises feedforward filter (FF) 105, Tomlinson decoder/slicer 110, infinite size slicer 115, adder 120, processor 125 and transmitter 130. A received data signal for processing is applied to feed forward filter 105, from primary channel 17. Feedforward filter 105 whitens the noise present in the received data signal to generate the output signal $y(n)$. The latter is applied to Tomlinson decoder/slicer 110, infinite size slicer 115, and adder 120. Tomlinson decoder/slicer includes circuitry that performs in a complementary fashion to the Tomlinson precoder of transmitter 10 to provide an estimate, $\hat{x}(n)$, of the actually transmitted data symbol, $x(n)$.

Immediately after switching to the communications phase, $y(n)$ is, ideally, ISI free (a small ISI level may still be produced due to misadjustment in the pre-equalizer) and the additive noise is close to white. Let $e(n) \equiv y(n) - x(n)$. Since transmitter 10 incorporates precoding, transmitter 10 has an ideal reference for $x(n)$ - namely $x(n)$ itself. Therefore, and in accordance with the inventive concept, samples of $y(n)$ contain sufficient information for adapting the pre-equalizer of transmitter 10 and can simply be communicated back to transmitter 10 over reverse channel 16 (ignoring for the moment adder 120 and infinite size slicer 115). For example, a few bits per sample of $y(n)$ can be transferred to transmitter 10 every K time instants. However, it should be noted that the convergence rate will be slower than that of an adaptive DFE directly located in the receiver. This convergence rate can be increased to a degree by either increasing the data rate on the reverse channel (typically not an attractive systems option), or, where the data

rate for the reverse channel is fixed, by reducing the number of bits required for each sample of $y(n)$.

Consequently, although samples of $y(n)$ could be directly sent back to transmitter 10 in accordance with the inventive concept, it is preferable to accelerate the convergence of the pre-equalizer (described below) of transmitter 10 in a different fashion. In particular, and in accordance with the inventive concept, an error signal is developed for transmission from DCE 21 to DCE 11 such that the number of bits required for representing the error signal is less than the number of bits required for $y(n)$.

As shown in FIG. 5, adder 120 of receiver 20 develops an approximation (denoted by $\hat{e}(n)$) of the error signal $e(n)$ by using an estimation of the transmitted data, $\hat{x}(n)$, which is developed by infinite size slicer 115. The latter is required since a form of modulo precoding is used. In particular, the estimate $\hat{x}'(n)$ developed by Tomlinson decoder/slicer 110 may generate a large error at the boundary of the signal point constellation due to the modulo nature of the precoding. For example, the received signal point may be on one side of the constellation but the sliced signal point is on the opposite side, which would yield a large error value. Therefore, infinite size slicer 115 is configured to mathematically represent an infinite signal point constellation. That is, there are always more rows, and columns, of signal points presumed to be available when performing the slicing operation. Since $\hat{x}(n)$ is only used in this context to generate an error signal, it is of no consequence to the subsequent recovery of the actually transmitted data from $\hat{x}'(n)$. Processor 120 processes the error signal estimate, $\hat{e}(n)$, in any one of a number of ways (some of which are described below) to generate an adaptation signal 126 for transmission back to transmitter 10 over reverse channel 16, via transmitter 130 of DCE 21.

FIG. 6 is an illustrative block diagram of a portion of DCE 11 in accordance with the principles of the invention. FIG. 6 is similar to FIG. 2 described above except for the addition of receiver 230 and processor 225. The latter receives the above-mentioned adaptation signal from receiver 230 of DCE 11, via reverse channel 16, and adapts the coefficient values, $f_i(n)$, ($i=0, 1, \dots, N-1$) of filter 215 to thereby alter the filter response vector $\underline{f}(n)$ without performing a re-train.

FIG. 7 shows a generalized method in accordance with the principles of the invention as described above. In this method, processor 125 of DCE 21 generates an adaptation signal in step 305 and transfers this signal to DCE 11 via reverse channel 16 in step 310. Processor 225 of DCE 11 recovers the adaptation signal from reverse channel 16 in step 315 and then adapts the coefficient values, $f_i(n)$, ($i=0, 1, \dots, N-1$) of filter 315 as a function of the received adaptation signal in step 320. Since the reverse channel data

rate available for transferring information related to this adaptation is typically limited, an efficient adaptation method should be employed.

One method of generating an efficient adaptation signal in DCE 21 for adapting a pre-equalizer in DCE 11 is shown in FIG. 8. In this method, processor 125 of DCE 21 generates the sign of $\hat{e}(n)$ in step 405 and transfers the sign of $\hat{e}(n)$ to DCE 11 via reverse channel 16 in step 410. The value of the sign of $\hat{e}(n)$ is based upon one sample per data block of length K . Processor 225 of DCE 11 recovers the sign of $\hat{e}(n)$ from reverse channel 16 in step 415 and using any well-known sign algorithm calculates the changes to the coefficient values in step 420. (Sign algorithms are known in the art. For example, see V.J. Mathews and S.H. Cho, "Improved convergence analysis of stochastic gradient adaptive filter using the sign algorithm," IEEE Trans. Acoust., Speech and Signal Process., vol. ASSP-35, pp. 450 - 454, 1987; and E. Masry and F. Bullo, "Convergence analysis of the sign algorithm for adaptive filtering," IEEE Trans. Inform. Theory, Vol. 37, pp. 1470 - 1475, 1991.) In step 425, processor 225 adapts the coefficient values, $f_i(n)$, ($i=0, 1, \dots, N-1$) of filter 215. In this case, the adaptation of the pre-equalizer coefficients takes the form:

$$f_i(n+1) = f_i(n) + 2\mu(\text{sgn}[\hat{e}(n)]\hat{x}(n-i)), \quad (2)$$

It should be noted that only one bit per sample is required for the case of a real filter, and two bits for a complex filter, which is typically well within any bandwidth constraints for a reverse channel. If μ is very small, the difference in steady state errors between the LMS and the sign algorithms is not large (when the convergence speed is fixed). As shown in equation(2), there must be proper synchronization between $\hat{e}(n)$ and $x(n-i)$ when updating the respective i -th coefficient.

Another method of generating an adaptation signal in DCE 21 for adapting a pre-equalizer in DCE 11 is shown in FIG. 9. In particular, processor 125 statistically processes $\hat{e}(n)$ to generate at least one statistical parameter in step 505 and transfers the statistical parameter(s) to DCE 11 via reverse channel 16 in step 510. This method is aimed at utilizing the data available in receiver 20 for the K samples (whereas the adaptation of the sign algorithm is based on one sample per data block of length K), and avoiding the need for synchronization between $\hat{e}(n)$ and $x(n-i)$. For example, let σ_e^2 be the variance of the error $e(n)$. Assuming the $e(n)$ is ergodic, σ_e^2 can be evaluated by:

$$\sigma_e^2 = \frac{1}{K} \sum_{n=1}^{n=K} e^2(n). \quad (3)$$

The adaptation of the i -th coefficient can be performed by:

$$f_i(n) = f_i(n-1) - \mu \hat{\nabla}_i, \quad (4)$$

where $\hat{\nabla}_i$ is an estimation of the gradient of σ_e^2 . Derivation of equation (3) yields the following estimation for the gradient:

$$\hat{\nabla}_i = -\frac{2}{K} \sum_{n=1}^{n=K} \hat{e}(n) \hat{x}(n-i). \quad (5)$$

5 It is easy to see that by using this type of averaging in step 505 (hereafter referred to as gradient estimation), the variance of the minimum error in the estimation of the gradient is reduced by a factor of K . Note, that the misadjustment is proportional to this variance. Hence, the adaptation step size can be increased for obtaining a desired misadjustment, provided that the increased step size would ensure convergence. Increasing the step size
10 would also accelerate the convergence of the pre-equalizer. Notice that gradient estimation is mostly effective for slow varying channels, where the channel is quasi-stationary for a period of K transmitted symbols. In this example, a set of values of $\hat{\nabla}_i$ for each coefficient is calculated by processor 125 in step 505 and then transmitted to DCE 11 in step 510. Processor 225 of DCE 11 recovers the statistical parameter(s), here
15 represented by $\hat{\nabla}_i$ for each of the coefficients in step 515. Processor 225 then adapts the coefficient values, $f_i(n)$, ($i=0, 1, \dots, N-1$) of filter 215 in accordance with equation (4) in step 520.

A disadvantage of the above-described gradient estimation method is the need to transfer through the reverse channel different information for each coefficient, whereas in
20 the sign algorithm only one bit is required for the adaptation of all the coefficients. As a result, the gradient estimation method uses more bandwidth than the above-mentioned use of a sign algorithm. However, the gradient estimation method can be further modified so as to reduce the bandwidth required over the reverse channel. For example, requirements of the reverse channel data rate can be reduced by transferring over the
25 reverse channel only a few bits per coefficient for representing $\hat{\nabla}_i$. Indeed, even one bit may be considered (due to the accuracy of the estimation which is based on an average error). Yet another variation is to only adapt a few dominant coefficients of the pre-equalizer in the transmitter. Recall that the aim of performing adaptation of the pre-equalizer is eliminating error propagation, mostly caused by a few ISI coefficients. The
30 remaining coefficients can be used to adapt a DFE in the receiver during the communications phase.

It should be noted that the mean square error, $E\{e^2(n)\}$, is small after the initialization process. As such, the mean squared error may serve as a figure-of-merit for the adaptation step size. Increase in the mean squared error may be interpreted as an

increase in the misadjustment. In this case the pre-equalizer can increase the step size (using the reverse channel) for a short period in order to accelerate the adaptation.

The foregoing merely illustrates the principles of the invention and it will thus be appreciated that those skilled in the art will be able to devise numerous alternative arrangements which, although not explicitly described herein, embody the principles of the invention and are within its spirit and scope.

For example, as illustrated above, the adaptation signal can take many forms and only a few illustrative suggestions were described above. For example, the adaptation signal can represent a sequence of k -bit size words, where each k -bit size word represents the location of the first non-zero bit in a corresponding value of, e.g., $\hat{e}(n)$, as opposed to the value of $\hat{e}(n)$ itself. This approach, in effect, sends the most significant, non-zero bit(s) of the signal used for adaptation.

Further, although Tomlinson precoding was illustrated, any precoding scheme can be used in conjunction with the inventive concept. For example, the precoding specified by CCITT modulation standard V.34 could also be used with correspondingly straightforward changes in the receiver structure. This proposed scheme can be used in either an uncoded or coded communications system.

Also, although the invention is illustrated herein as being implemented with discrete functional building blocks, e.g., slicers, filters, etc., the functions of any one or more of those building blocks can be carried out using one or more appropriate programmed processors, e.g., the above-described pre-equalizer and processor of transmitter 10 can be implemented together in a suitably programmed digital signal processor.

What is claimed:

- 1 1. In a transmitter apparatus of the type that performs pre-coding of a signal
2 before transmission over a communications channel, the improvement comprising:
3 a pre-equalizer system that uses the communications channel to adapt a set of
4 coefficients of the pre-equalizer.
- 1 2. The apparatus of claim 1 wherein the pre-equalizer system further comprises:
2 a pre-equalizer associated with the set of coefficients; and
3 a processor responsive to an error signal received from the communications
4 channel for updating values of the set of coefficients as a function of the error signal.
- 1 3. The apparatus of claim 2 wherein the error signal is representative of a sign of
2 an error value over a time interval and wherein the processor updates values of the set of
3 coefficients as a function of the sign of the error value.
- 1 4. The apparatus of claim 2 wherein the error signal is representative of at-least-
2 one statistical parameter over a time interval and wherein the processor updates values of
3 the set of coefficients as a function of the at-least-one statistical parameter.
- 1 5. The apparatus of claim 1 wherein the pre-equalizer system further comprises:
2 a pre-equalizer associated with the set of coefficients; and
3 a processor responsive to an adaptation signal received from the communications
4 channel for updating values of the set of coefficients.
- 1 6. The apparatus of claim 5 wherein the processor operates to a) generate an error
2 signal as a function of the adaptation signal and a data signal that is not pre-coded, and
3 then b) update values of the set of coefficients as a function of the error signal.
- 1 7. The apparatus of claim 1 wherein the pre-equalizer only updates values of the
2 set of coefficients during a communications phase.
- 1 8. The apparatus of claim 7 wherein the pre-equalizer system determines initial
2 values of the set of coefficients by receiving said initial values from the communications
3 channel before the communications phase.
- 1 9. Communications equipment comprising:
2 a precoder for providing a precoded data signal, wherein the precoder includes a
3 adaptive pre-equalizer; and
4 a transmitter for transmitting the precoded data signal.
- 1 10. The apparatus of claim 9 further comprising a receiver for receiving an
2 adaptation signal from a communications channel, and wherein the adaptive pre-equalizer
3 adapts a set of coefficient values as a function of the received adaptation signal.
- 1 11. Communications equipment comprising:
2 a receiver for receiving an adaptation signal from a communications channel;

3 a precoder having a pre-equalizer comprising a set of coefficients, wherein the
4 pre-equalizer adapts values of the set of coefficients as a function of the received
5 adaptation signal.

1 12. The apparatus of claim 11 wherein the pre-equalizer further comprises:
2 a filter section for storing values of the set of coefficients; and
3 a processor section for updating values of the sets of coefficients as a function of
4 the received adaptation signal.

1 13. The apparatus of claim 12 wherein the processor section operates to a)
2 generate an error signal as a function of the adaptation signal and a data signal, before the
3 data signal is precoded by the precoder, and then b) update values of the set of
4 coefficients as a function of the error signal.

1 14. The apparatus of claim 12 wherein the adaptation signal is representative of an
2 error signal generated by a far-end receiver.

1 15. The apparatus of claim 14 wherein the error signal is representative of a value
2 of a sign of an error value determined in the far-end receiver and wherein the processor
3 section updates values of the set of coefficients as a function of the sign of the error
4 value.

1 16. The apparatus of claim 14 wherein the error signal is representative of at-least-
2 one statistical parameter over a time interval and wherein the processor section updates
3 values of the set of coefficients as a function of the at-least-one statistical parameter.

1 17. Apparatus for use in a communications device, the apparatus comprising:
2 a processor for processing a data signal to generate an adaptation signal; and
3 a transmitter for communicating the adaptation signal to a far-end
4 communications device over a communications channel.

1 18. The apparatus of claim 17 wherein the processor provides the data signal as
2 the adaptation signal.

1 19. The apparatus of claim 17 wherein the processor provides an error signal as
2 the adaptation signal, and the error signal is determined as a function of the data signal
3 and a sliced estimate of the data signal.

1 20. The apparatus of claim 19 wherein the error signal represents a plurality of
2 error values over a time interval and the processor further modifies the adaptation signal
3 before transmission to represent a sign of at least one of these error values over the time
4 interval.

1 21. The apparatus of claim 19 wherein the error signal represents a plurality of
2 error values over a time interval and the processor further modifies the adaptation signal

3 before transmission to represent at least one statistical parameter of the plurality of error
4 values over the time interval.

1 22. A communications system comprising:
2 a transmitter having a pre-equalizer that adapts as a function of an adaptation
3 signal received from a communications channel; and
4 a receiver for generating the adaptation signal for transmission to the transmitter
5 over the communications channel.

1 23. The apparatus of claim 22 wherein the adaptation signal represents a plurality
2 of error values over a time interval.

1 24. The apparatus of claim 23 wherein the error values represent a difference
2 between a data signal within the receiver and a sliced version of the data signal.

1 25. The apparatus of claim 22 wherein the adaptation signal represents a sign of at
2 least one of a plurality of error values over a time interval.

1 26. The apparatus of claim 22 wherein the adaptation signal represents at least one
2 statistical parameter of a plurality of error values over a time interval.

1 27. A method for use in a communications device, the method comprising the
2 steps of:

3 pre-equalizing a data signal before transmission as a function of a set of pre-
4 equalizer coefficients having a corresponding set of values;
5 receiving from a far-end communications device an adaptation signal; and
6 adapting the set of values of the set of pre-equalizer coefficients as a function of
7 the received adaptation signal.

1 28. The method of claim 27 wherein the adapting step includes the steps of:
2 processing the adaptation signal in accordance with a sign algorithm to generate a
3 new set of values for the set of pre-equalizer coefficients; and
4 updating the set of values of the set of pre-equalizer coefficients with the new set
5 of values.

1 29. The method of claim 27 wherein the adaptation signal represents at-least-one
2 statistical parameter over a time interval and the processing step includes the steps of:
3 processing the at-least-one statistical parameter to generate a new set of values for
4 the set of pre-equalizer coefficients; and
5 updating the set of values of the set of pre-equalizer coefficients with the new set
6 of values.

1 30. A method for use in a communications device, the method comprising the
2 steps of:

3 slicing a data signal to provide a sliced data signal;

4 generating an error signal representative of a difference between the data signal
5 and the sliced data signal; and

6 transmitting the error signal to a far-end communications device.

1 31. A method for use in a communications device, the method comprising the
2 steps of:

3 slicing a data signal to provide a sliced data signal;

4 generating an error signal representative of a difference between the data signal
5 and the sliced data signal, the error signal representing a set of error values over a time
6 interval;

7 processing the set of error values to generate an adaptation signal representative of
8 a sign of at least one value of the set of error values; and

9 transmitting the adaptation signal to a far-end communications device.

1 32. A method for use in a communications device, the method comprising the
2 steps of:

3 slicing a data signal to provide a sliced data signal;

4 generating an error signal representative of a difference between the data signal
5 and the sliced data signal, the error signal representing a set of error values over a time
6 interval;

7 processing the set of error values to generate an adaptation signal representative of
8 at least one statistical parameter of the set of error values; and

9 transmitting the adaptation signal to a far-end communications device.

1/4

FIG. 1
PRIOR ART

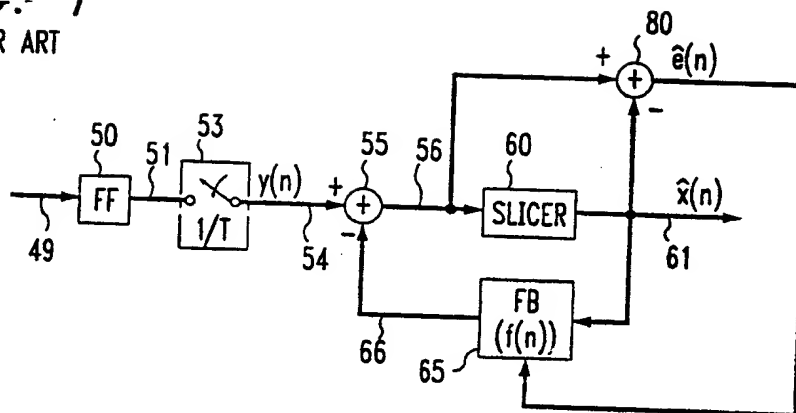


FIG. 2

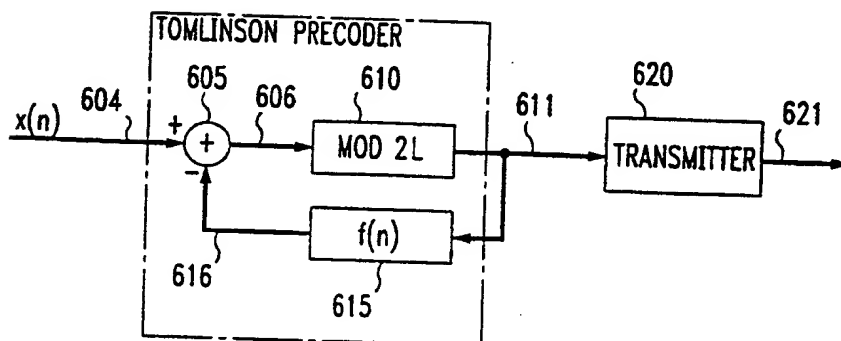
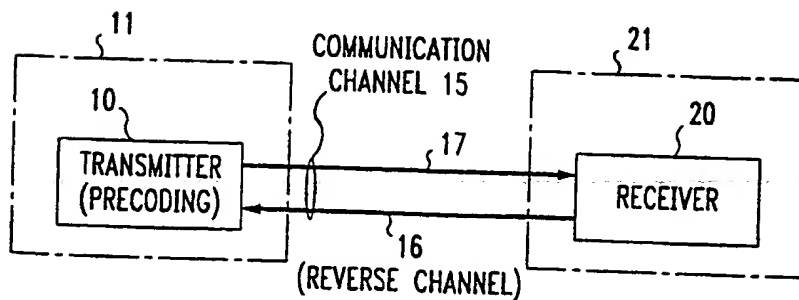


FIG. 4



2/4

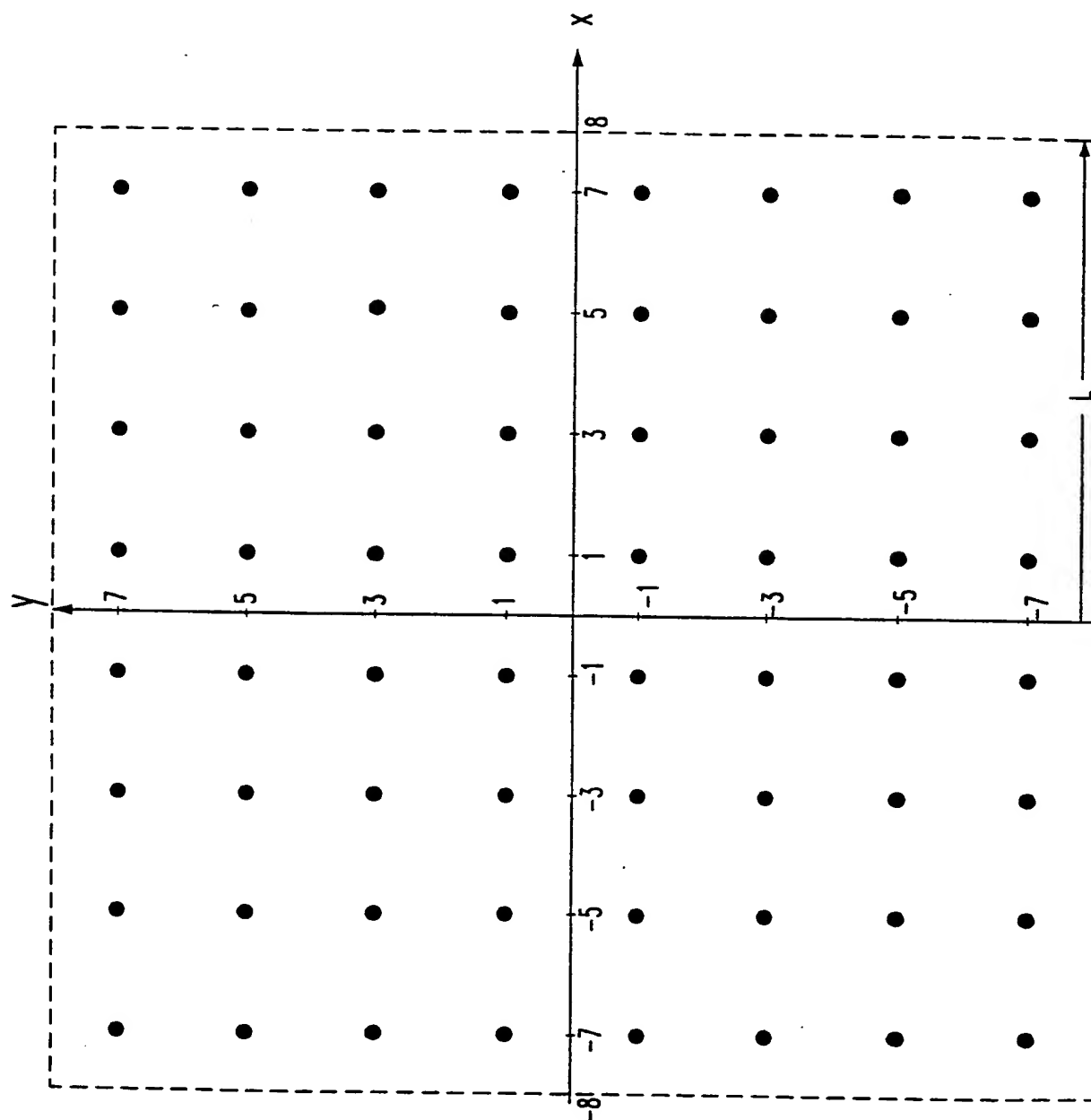


FIG. 3

3/4

FIG. 5

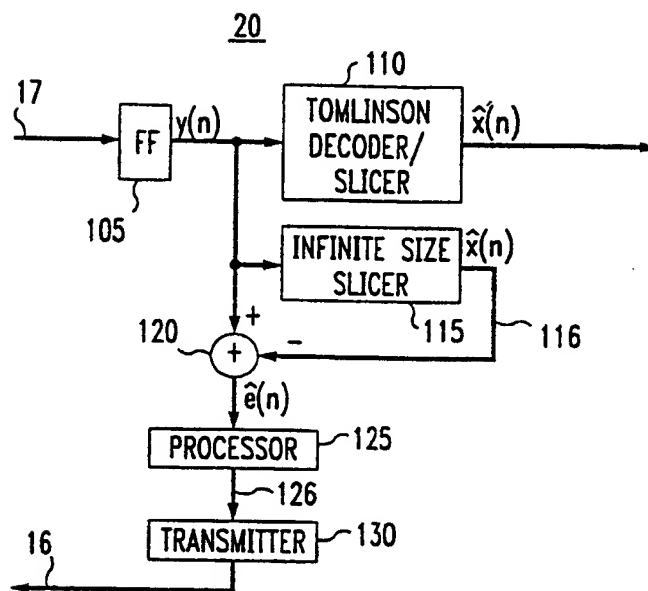


FIG. 6

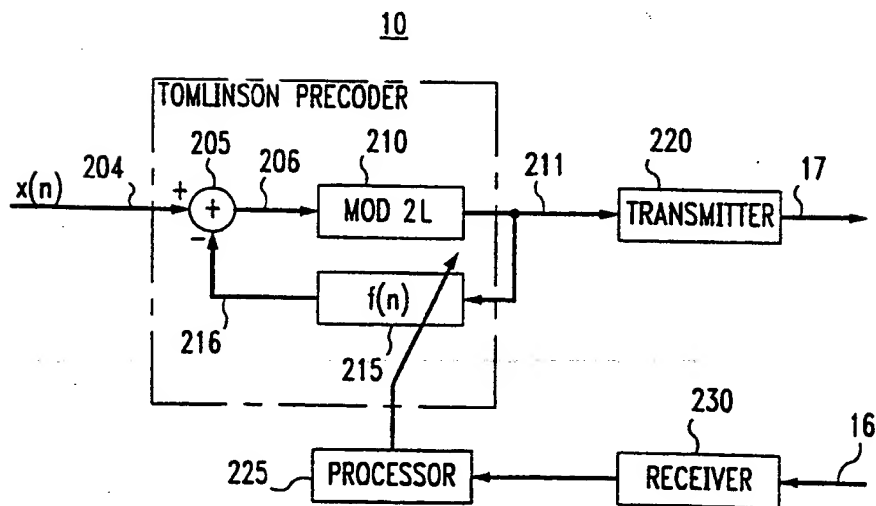


FIG. 7
COMMUNICATIONS PHASE

4/4

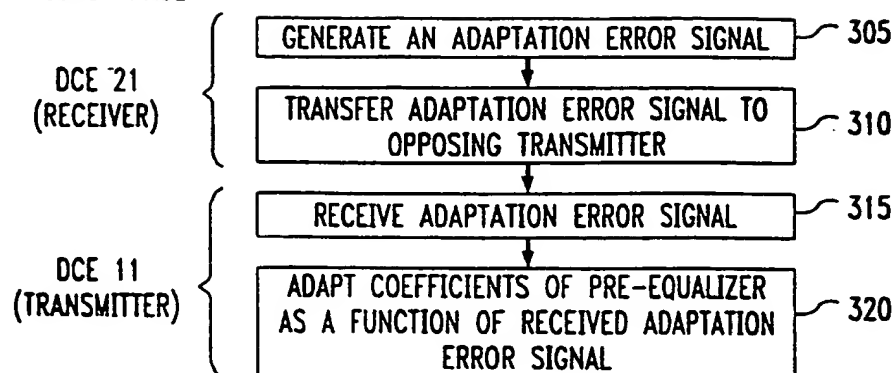


FIG. 8
COMMUNICATIONS PHASE

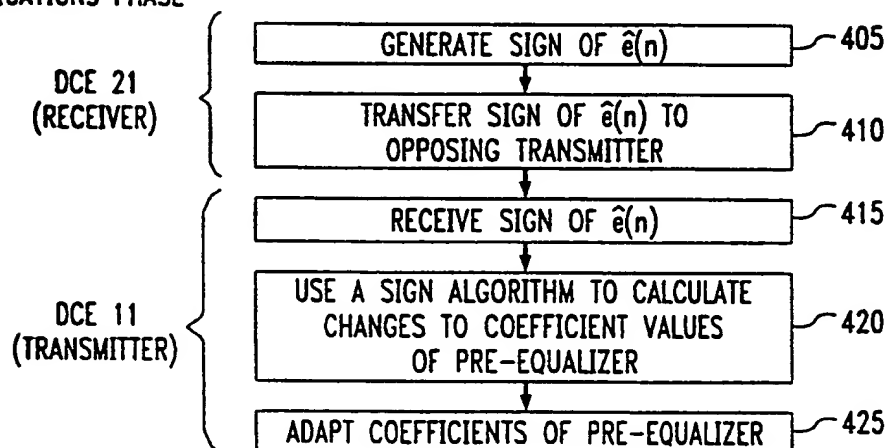
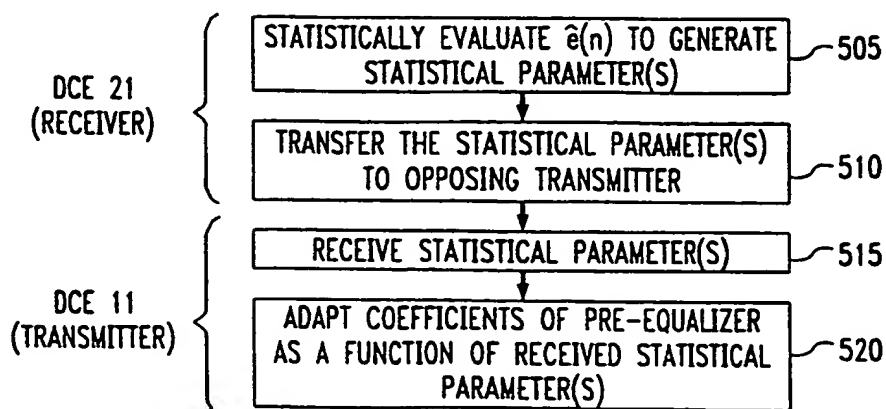


FIG. 9
COMMUNICATIONS PHASE



INTERNATIONAL SEARCH REPORT

International application No.
PCT/US97/02758

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :H04L 25/03, 25/49; H04B 15/00

US CL :375/285, 296

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 375/285, 296, 346, 232; 364/724.2; 455/63

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
Please See Extra Sheet.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 4,577,329 A (BRIE et al) 18 March 1986 (18-03-86), figure 4, column 5, lines 23-26, and column 11, lines 45-48.	3, 15, 20, 25, 28, 31
Y	US 4,866,736 A (BERGMANS) 12 September 1989 (12-09-89), figure 1 and column 3, lines 32-34.	18
X	US 5,008,903 A (BETTS et al) 16 April 1991 (16-04-91), figure 5, column 2, lines 45-54 and column 3, line 8-column 4, line 49.	1, 2, 4-14, 16, 17, 19, 21-24, 26, 27, 29, 30, 32
----- Y		3, 15, 18, 20, 25, 28, 31

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A document defining the general state of the art which is not considered to be part of particular relevance	*X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E earlier document published on or after the international filing date	*Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*G document member of the same patent family
*O document referring to an oral disclosure, use, exhibition or other means	
*P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search
16 APRIL 1997

Date of mailing of the international search report
14 MAY 1997

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Authorized officer
Betsy L. Deppe

Facsimile No. (703) 305-3230

Telephone No. (703) 305-4960

Form PCT/ISA/210 (second sheet)(July 1992)*

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US97/02758

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,251,328 A (SHAW) 05 October 1993 (05-10-93), see entire document.	1-32
A	US 5,291,520 A (COLE) 01 March 1994 (01-03-94), see entire document.	1-32

Form PCT/ISA/210 (continuation of second sheet)(July 1992)★

INTERNATIONAL SEARCH REPORT

1. International application No.

PCT/US97/02758

B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

APS, STN

Search terms: transmitter#, receiver#, equali?, coefficient#, statistical parameter#, pre-distortion, error propagation, precod?, error#